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*Enabling Ultra-low Power Machine Learning at the Edge*

## “Neural Architecture Search for Tiny Devices”

Swarnava Dey – Senior Scientist, TCS Research

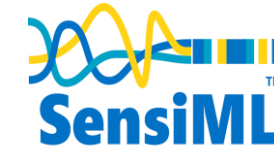
April 10, 2023



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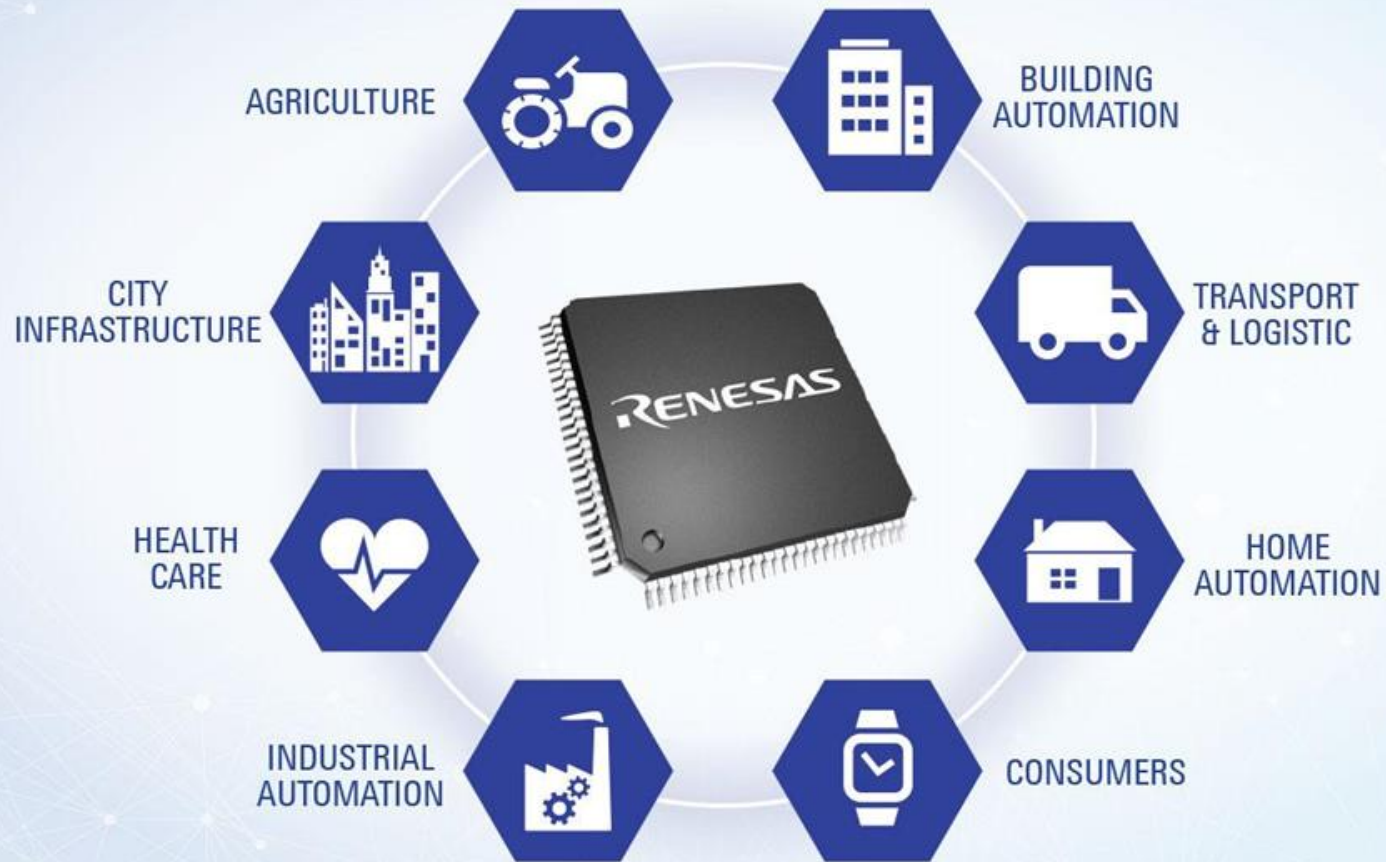
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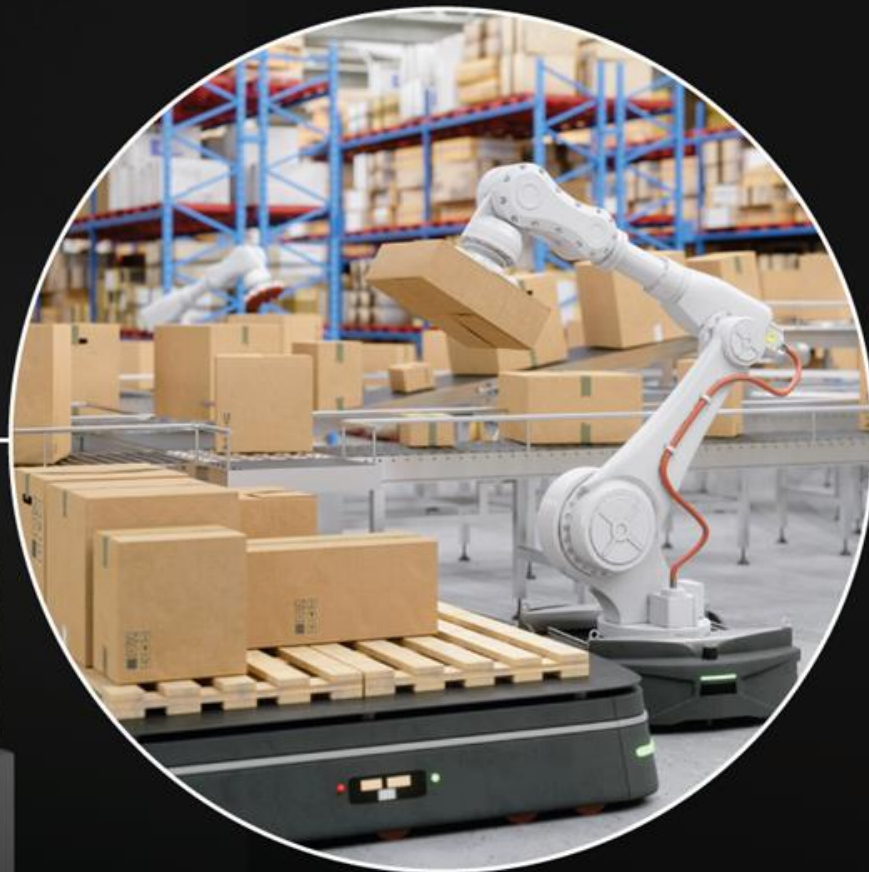
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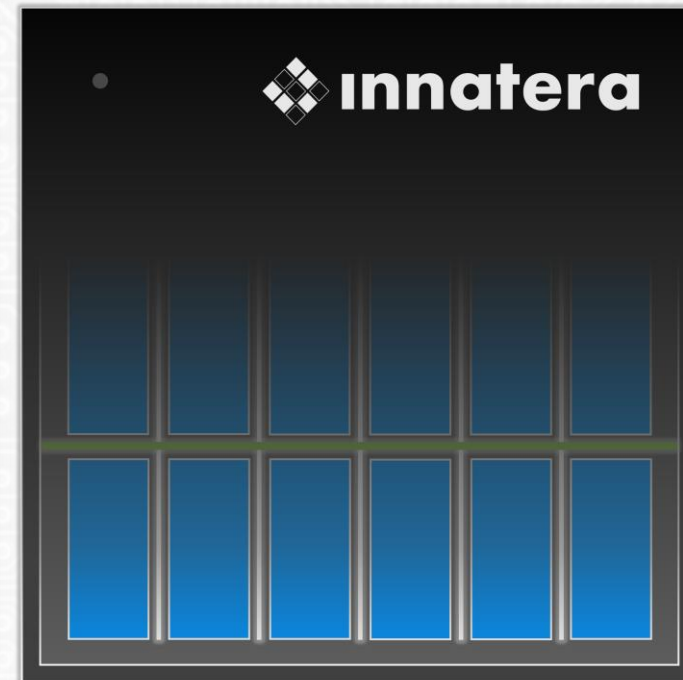
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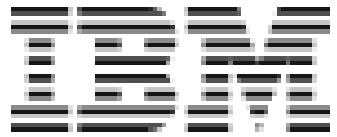
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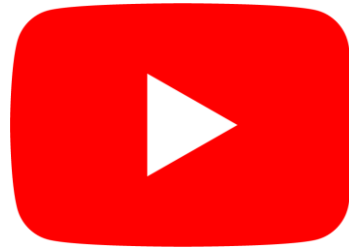


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## Swarnava Dey



Swarnava Dey is a Senior Scientist at TCS Research working on embedded vision systems. He is an M.Tech from IIT, Kharagpur, and currently pursuing PhD there in robustness, verifiability and explainability of Embedded Deep Neural Networks and Neuro Symbolic AI. He has 30+ granted patents, 25+ research papers, and is an author of Towards Data Science: <https://medium.com/@qswadey>. His publication details can be found at his Google Scholar page: <https://scholar.google.co.in/citations?hl=en&user=aFplwjEAAAAAJ>



# Neural Architecture Search for Tiny Devices

A Hardcore Technical Tutorial  
In tinyML Talks, 10<sup>th</sup> April 2023

Swarnava Dey



# Neural Architecture Search (~AutoML): Goals

- NAS research - Automatically generate better architectures than handcrafted models; benchmark accuracy on NAS Bench dataset & ImageNet
- NAS for TinyML - Automatically customize & optimize DNNs for multiple constraints - [accuracy, model size, SRAM usage (runtime memory), #MACs, latency, energy usage...]



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## NAS SOTA - Differential, one-shot NAS - DARTS & DARTS-based

- ✓ DARTS works out of the box - <https://github.com/quark0/darts>
- ✓ Default implementation single-objective - accuracy
- ✓ Difficult to get ready version that allows integration of my preferred objectives

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## NAS for TinyML

- ✓ Large many-layered networks, complex connections not required
- ✓ Accurate multi-objective conformance, platform API support - highly required
- ✓ MCUNet V1 & V2,  $\mu$ -NAS, Micronets... have their merits & demerits. We may often need to tweak existing frameworks - understanding NAS helps

# Today's Agenda

- ✦ SOTA NAS research & **TinyML**
- ✦ A **naive** method to generate DNN
- ✦ Enhancing the method using **Reinforcement Learning**
- ✦ Other optimization techniques for **sample-based** NAS
- ✦ **Gradient-based**, one-shot NAS
- ✦ **Take-home** points

# NAS Research & TinyML: Mainstream NAS Goals

- ▶ Started with RL using RNN<sup>1</sup> , Q-Learning<sup>2</sup> to generate architecture



[1] **Neural Architecture Search with Reinforcement Learning**, Barret Zoph, Quoc V. Le, <https://arxiv.org/abs/1611.01578>

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- Next came the game changer DARTS<sup>5</sup>. It used earlier innovations.
- All paved the way for searching larger, more accurate nets much faster
- I had to drop many deserving papers due to space constraints
- This goal is not important for TinyML applications.
- However, let's remember **2-MetaQNN, 3-NASNet, 4-ENAS, 5-DARTS, 6-AE, & 7-BO**

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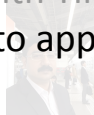
[9] MCUNet: Tiny Deep Learning on IoT Devices, Ji Lin *et al.*, <https://arxiv.org/pdf/2007.10319>

[10] MCUNetV2: Memory-Efficient Patch-based Inference for Tiny Deep Learning, Ji Lin *et al.*, <https://arxiv.org/abs/2110.15352>

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- ▶  $\mu$ -NAS<sup>12</sup> based on AE & BO, use working sets to approximate PMU & #MAC for latency
- ▶ MicroNets<sup>13</sup> most promising: DARTS + latency, memory, energy - all approximated by #FLOPS, MO optimization DARTS Loss + 'some regularizer' - not clear: <https://github.com/liyunsheng13/micronet>
- ▶ Moreover, this CVPR '20 paper showed that #MAC not good proxy for latency

[10] MCUNetV2: Memory-Efficient Patch-based Inference for Tiny Deep Learning, Ji Lin *et al.*, <https://arxiv.org/abs/2110.15352>

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[13] MicroNets: Neural Network Architectures for Deploying TinyML Applications on Commodity Microcontrollers, Colby Banbury *et al.*, <https://arxiv.org/abs/2010.11267>

[14] Latency-Aware Differentiable Neural Architecture Search, Yuhui Xu *et al.*, <https://arxiv.org/abs/2001.06392>



# NAS Research & TinyML: Reward Engineering

- Generally, for NAS reward is ACC - proven on benchmark datasets
- TinyML objective: Pareto Optimal - one objective can't be improved without making another worse
- $\text{argmin } \alpha \in A \{ 1.0 - \text{ACC}(\alpha), \text{SIZE}(\alpha), \text{PMU}(\alpha), \text{LAT}(\alpha) \}$
- Easiest method - treat all other objectives as constraints ( comes from platform)



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DPP-Net<sup>15</sup> - ACC, #params, inference time, memory usage on actual hardware

MONAS<sup>16</sup> - scalarization:  $R = \alpha * \text{ACC} - (1 - \alpha) * \text{ENERGY}$

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[15] **DPP-Net: Device-aware Progressive Search for Pareto-optimal Neural Architectures**, Jin-Dong Dong *et al.*,  
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MicroNets<sup>13</sup> - using regularizer with DARTS:  $\sum_{k=1}^K z_k / \theta_k$  - how ?

[15] **DPP-Net: Device-aware Progressive Search for Pareto-optimal Neural Architectures**, Jin-Dong Dong *et al.*,  
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# The Naive Neural Architecture Search: Model

- input images  $x \in \mathbb{R}^{h \times w \times c}$  from an input space  $X$ , and a set of labels  $y \in \mathbb{R}$  from an output space  $Y$
- Mapping  $\eta: X, Y, P \rightarrow \mathcal{M}(\theta)$
- $\mathcal{N}^m(\theta_m) \circ \dots \circ \mathcal{N}^2(\theta_2) \circ \mathcal{N}^1(\theta_1)$ , where each layer implementation  $\mathcal{N}^i$  is parametrized by  $\theta_i$ , and implements the functionality  $f_i$




- $y = f_m(f_{m-1}(\dots(f_1(x))\dots))$ , where each  $f_i$  can be an affine transform, non-linear transform, etc.

```
model = nn.Sequential(nn.Conv2d(1, 6, kernel_size=5, stride=1, padding=0),
                      nn.ReLU(),
                      nn.MaxPool2d(kernel_size = 2, stride = 2)),
                      nn.Linear(400, 10),
                      torch.nn.Softmax(dim=10))
```

# Naive NAS: Representing $f_{\square} ( f_{\square-1}(\dots(f_1(x))\dots))$

1. Assign identifiers for each component of the architecture
2. Let the encoding of a single layer be:  
[ <layer type><input><output><kernel size><stride><padding>... ]
3. Let the architecture be a concatenation of all such layers in sequence:  
[ [<layer 1><param 1><param 2>...]  
[<layer 2><param 1><param 2>...]  
[<layer n><param 1><param 2>...] ]

Operation	Params	Values
Conv2D	Kernel size	{1,3,...}
	Out Channel	{16,32,...}
	In Channel	{16,32...}
	Stride	{1,2}
Linear	In	{10,64,..}
	Out	{10,64,..}
Softmax		

Operation	Encoding
Conv2D	1
Linear	2
MaxPool2D	3
BN	4
Softmax	5



# Algorithm 1: Naive NAS - Random Architecture Search

**Initialize:** Set  $\text{max\_layers}$ ,  $\text{max\_params}$ ,  $\text{max\_score}$ ,  $\text{Layers}$ ,  $\text{Params}$ ,  $\text{Values}$  lists & empty architecture  $\pi$

while  $\rho < \text{max\_score}$  do

  for each  $l$  in  $\text{Layers}$  do

    for each  $h$  in  $\text{Params}[l]$  do

      1.  $l[h] \leftarrow \text{random\_choice}(\text{Values}[h])$

      2. Append  $l$  to architecture  $\pi$

      3. Convert string architecture  $\pi$  to  $\mathcal{M}(\theta)$

      4. Train and evaluate  $\mathcal{M}(\theta)$  to get  $\text{ACC}$ ,  $\text{SIZ}$ ,  $\text{RAM}$ ,  $\text{MAC}$

      5. Convert  $\text{ACC}$ ,  $\text{SIZ}$ ,  $\text{RAM}$ ,  $\text{MAC}$  into a weighted score  $\rho$



for each  $l$  in  $\text{Layers}$  do

  for each  $h$  in  $\text{Params}$

$\text{random\_apply}(\pi[l[h]], \text{Values}(h))$

# Enhancing Naive NAS



## Problems of Naive NAS

- ✘ Learns nothing, better architecture are NOT generated progressively
- ✘ We may get a good architecture, but no guarantee when



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- 🔧 Consider and incorporate the feedback generated from the evaluation of the network
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Sometimes however, it works surprisingly well\*

[ \* ] **Random Search and Reproducibility for Neural Architecture Search**, Liam Li, Ameet Talwalkar,  
*Proceedings of The 35th Uncertainty in Artificial Intelligence Conference*, PMLR, 2020

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- ✘ We may get a good architecture, but no guarantee when



## How to make the search intelligent?

- 🔧 Consider and incorporate the feedback generated from the evaluation of the network
- 🔧 Should strive for generating progressively better architectures



Sometimes however, it works surprisingly well\*



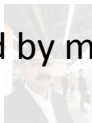
Making the search intelligent is just putting it into one of the existing formulae - not very difficult if we know the formula & understand our problem

[ \* ] **Random Search and Reproducibility for Neural Architecture Search**, Liam Li, Ameet Talwalkar,  
*Proceedings of The 35th Uncertainty in Artificial Intelligence Conference*, PMLR, 2020



# Reinforcement Learning Based NAS: Model

- ⇒ We model the search (NAS **agent**) as Markov Decision Process (MDP)
- ⇒ Agent starts with an initial architecture  $\pi$  - its initial **state**
- ⇒ **States** are associated with  $\pi$ , identified by a state vector
- ⇒ From one **state** other **states** can be generated by modifying  $\pi$
- ⇒ Modifying  $\pi$  is taking some **action**
- ⇒ There can be several choices of action from a current state
- ⇒ Why are we doing all these? We want the agent to choose best **actions**



## RL NAS: How to Identify the Best Action?

- ⇒ Agent aims to take actions, such that a **reward** value is maximized
- ⇒ **Reward** represents the feedback that agent receives from **environment**
- ⇒ **Reward** can be the **validation accuracy**



# RL NAS: How to Identify the Best Action?

- ⇒ Agent aims to take actions, such that a **reward** value is maximized
- ⇒ **Reward** represents the feedback that agent receives from **environment**
- ⇒ **Reward** can be the **validation accuracy**
- ⇒ **Reward** can be **immediate** or in distant **future** for a state trajectory



$$R_t = \rho_{t_1} + \gamma \rho_{t_2} + \gamma^2 \rho_{t_3} + \dots$$

## RL NAS: Value of a State Transition

- Need to associate a **value** for transition from one state to other on an action
- Cumulative **value** of a state transition from  $C_{t_1}$  to  $C_{t_2}$ , on an **action**  $\alpha$

$$V(C_{t_1}, \alpha) = \rho_{C_{t_1}}^{\alpha} C_{t_2} + \gamma V_{C_{t_2}}$$



## RL NAS: Value of a State Transition

- ⇒ Need to associate a **value** for transition from one state to other on an action
- ⇒ Cumulative **value** of a state transition from  $C_{t_1}$  to  $C_{t_2}$ , on an **action**  $\alpha$

$$V(C_{t_1}, \alpha) = \rho_{C_{t_1} C_{t_2}}^{\alpha} + \gamma V_{C_{t_2}}$$

- ⇒ A greedy strategy considers the maximum expected reward starting from  $C_{t_2}$

$$V(C_{t_1}, \alpha_{t_1}) = \rho_{C_{t_1} C_{t_2}}^{\alpha_{t_1}} + \gamma \max_{\alpha_{t_2}} V(C_{t_2}, \alpha_{t_2})$$

- ⇒  $V(\circ) \rightarrow$  **action-value function** and the individual  $V(C_{t_1}, \alpha) \rightarrow$  **Q-values**



## Algorithm 2: RL NAS

**Initialize:** Set  $\text{max\_score}$ ,  $\text{Layers}$ ,  $\text{Params}$ ,  $\text{Values}$  lists, empty architecture  $\pi$ , action set  $\mathcal{A}$  & state set  $\mathcal{C}$

while  $\rho < \text{max\_score}$  do

for each  $l$  in  $\text{Layers}$  do

for each  $h$  in  $\text{Params} [ l ]$  do

2.  $l [ h ] \leftarrow \text{random\_choice} ( \text{Values} [ h ] )$

3. Append  $l$  to architecture  $\pi$

4. Update  $\mathcal{A}$  with  $\alpha$ ,  $\mathcal{C}$  with  $\mathbf{C}_\pi$

EXPLORE

4. Convert string architecture  $\pi$  to  $\mathcal{M}(\theta)$

5. Train and evaluate  $\mathcal{M}(\theta)$  to get  $\text{ACC}$ ,  $\text{SIZ}$ ,  $\text{RAM}$ ,  $\text{MAC}$

6. Convert  $\text{ACC}$ ,  $\text{SIZ}$ ,  $\text{RAM}$ ,  $\text{MAC}$  into a weighted score  $\rho$

EVALUATE

for each  $\mathbf{C}_\pi$  in  $\mathcal{C}$  do

for each  $\alpha$  in  $\mathcal{A}$  do

7. Use  $\mathbf{C}_\pi$ ,  $\alpha$ ,  $\rho$ ,  $V(\mathbf{C}_\pi, \alpha)$  to train  $\mathcal{M}(\theta)$

## Algorithm 2: RL NAS

**Initialize:** Set  $\text{max\_layers}$ ,  $\text{max\_params}$ ,  $\text{max\_score}$ ,  $\text{Layers}$ ,  $\text{Params}$ ,  $\text{Values}$  lists, empty architecture  $\pi$ , & action set  $\mathcal{A}$

while  $\rho < \text{max\_score}$  do

  If  $\text{random\_value} < \epsilon$  do

    1. **EXPLORE**

  else do

    for each  $l$  in  $\text{Layers}$  do

      2.  $\mathbf{C}_{\pi+1} \leftarrow \mathcal{M}(\theta) (\mathbf{C}_{\pi})$

      3.  $l \leftarrow \mathbf{C}_{\pi+1}$

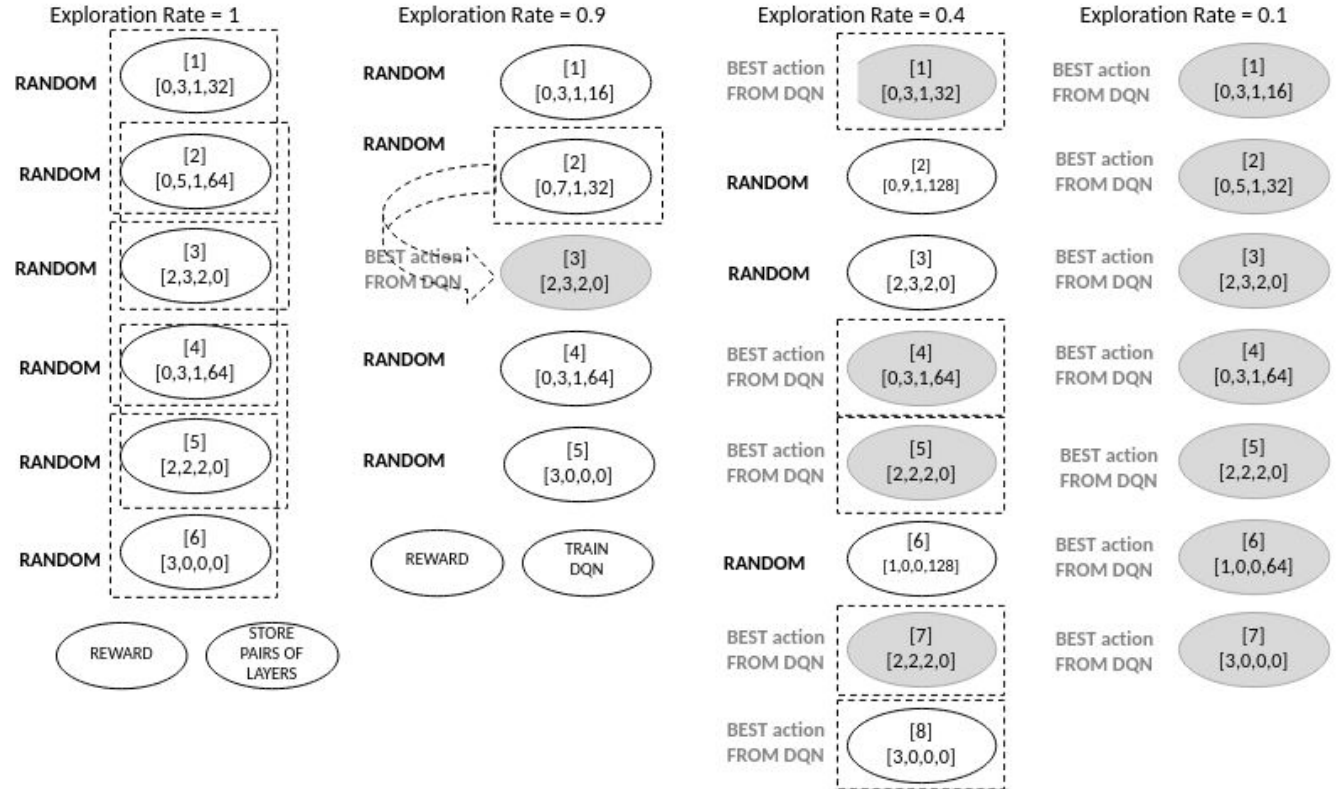
      4. Append  $l$  to architecture  $\pi$

**EXPLOIT**


    7. **EVALUATE**

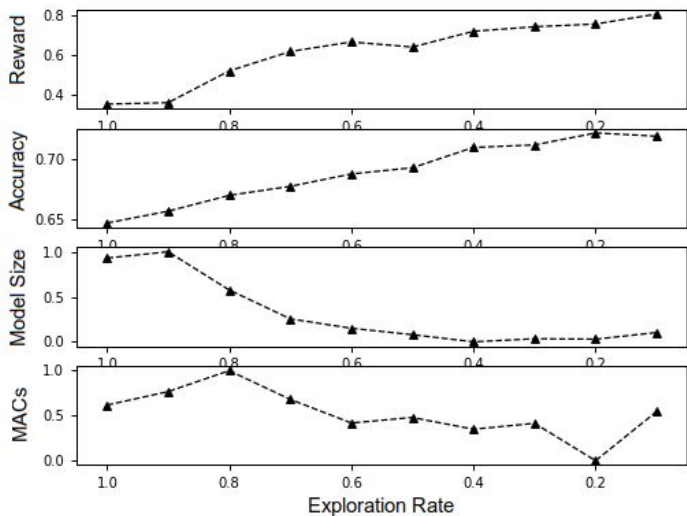
# Case Study: RL-NAS

**Generating Tiny Deep Neural Networks for ECG Classification on MCU**, Shalini Mukhopadhyay, Swarnava Dey, Avik Ghose (TCS Research), Pragma Singh (IIIT-D), Pallab Dasgupta (IIT KGP) IEEE Percom 2023

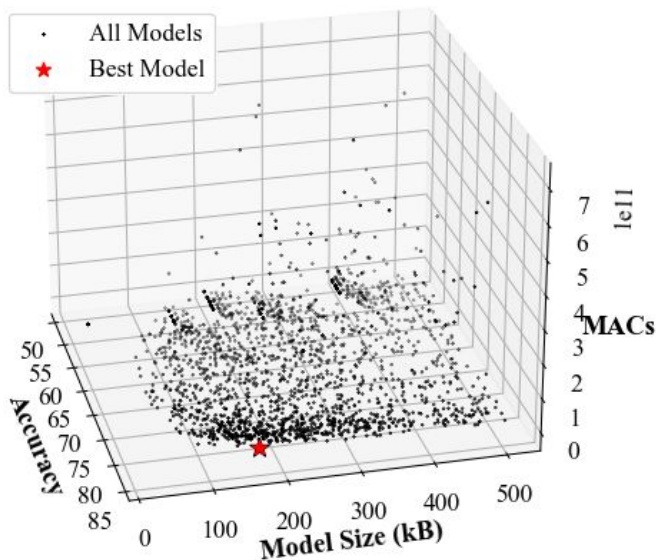


# Case Study: RL-NAS

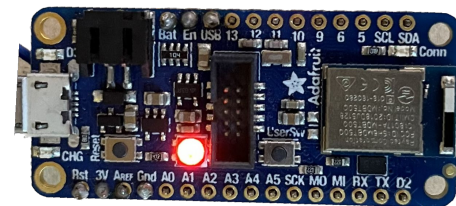

 RL based NAS (MetaQNN<sup>2</sup>) - ECG Atrial Fibrillation, Smoking episode, & Hand Gesture  
 3000 iterations, 90 hours (A100), 20-layer, 225 KB model with SOTA F1 score for ECG-AF  
 MO - ACC, SIZE, SRAM, #MAC:  $R = (W_a \text{ACC} + \sum_i W \exp(w_i P_i)) / \sum |W|$



Multi-objective Convergence



Clear Front of good models



Adafruit Feather  
 NRF52840  
 RAM Size : 256 kB  
 Flash Size : 1 MB  
 Target MACs : 16 M

Search Parameters

Generating Tiny Deep Neural Networks for ECG Classification on Micro-controllers, Mukhopadhyay et al., IEEE Percom 2023

## Algorithm 3: EVO NAS

**Initialize:** Set  $max\_score$ ,  $max\_pop$ , Layers, Params, Values lists, empty architecture  $\mathbf{n}$ , action set  $\mathcal{A}$  & state set  $\mathcal{C}$

1.  $POPULATION \leftarrow \{\phi\}$

for  $1 \dots max\_score$  do

2.  $\mathbf{n}, \mathcal{A} \leftarrow \text{EXPLORE}$

3.  $\rho \leftarrow \text{EVALUATE}(\mathbf{n})$

4.  $POPULATION.append(\mathbf{n}, \mathcal{A}, \rho)$

$mut\_rate \leftarrow 1.0$

for  $1 \dots max\_score$  do

5.  $parent \leftarrow POPULATION.fittest()$

6.  $child \leftarrow \text{mutate}(parent, mut\_rate)$

(contd.)

for  $1 \dots max\_score$  do

7.  $parent \leftarrow POPULATION.fittest()$

8.  $Child, \mathcal{A} \leftarrow \text{mutate}(parent, mut\_rate)$

9.  $\rho \leftarrow \text{EVALUATE}(child)$

10.  $POPULATION.append(child, \mathcal{A}, \rho)$

11.  $POPULATION.remove\_least\_fits()$

12. Update  $mut\_rate$

13.  $final\_architectures \leftarrow POPULATION.fittest()$





## Points to Note

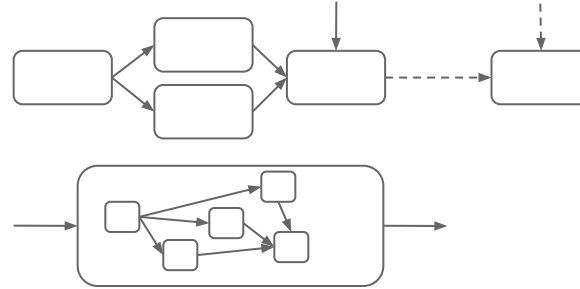
- 👉 The main approach is sampling architectures randomly, evaluating, learning to sample better architectures in an informed manner
- 👉 Sequentially building network
- 👉 Reward is completely decoupled from search
- 👉 Discrete choices for layers and params



# DARTS: Differentiable NAS

Considers a fixed network a number of **cells**

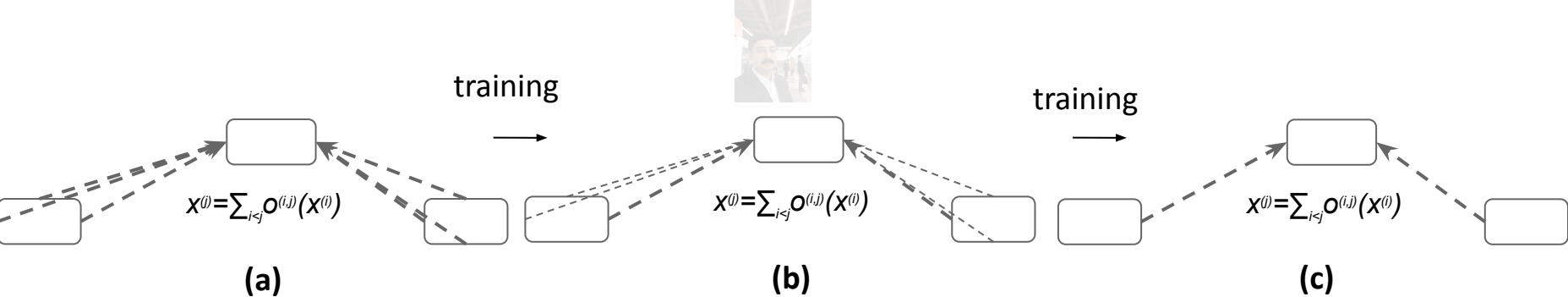
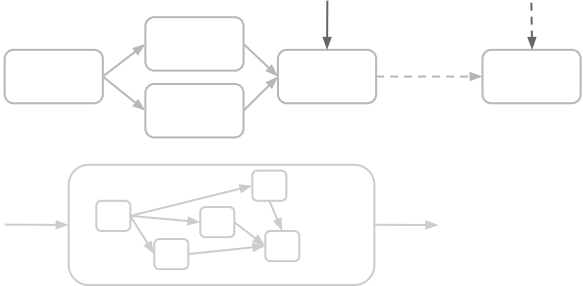
**Cells** have nodes, connectivity (ops) is searched



# DARTS: Differentiable NAS

Considers a fixed network a number of *cells*

*Cells* have nodes, connectivity (ops) is searched



$$o^{(i,j)}(x) = \sum_{o \in \mathcal{O}} \left( \frac{\exp(\alpha^{(i,j)}_o)}{\sum_{o' \in \mathcal{O}} \exp(\alpha^{(i,j)}_{o'})} \right) * o(x)$$

## Algorithm 4: DARTS – Differentiable Architecture Search

Set  $\text{max\_layers}$ ,  $\text{max\_params}$ , Create a mixed operation  $\bar{o}^{(i,j)}$  parametrized by  $\alpha^{(i,j)}$  for each edge  $(i, j)$

while not converged do

1. Update architecture  $\alpha$  by descending  $\nabla_{\alpha} L_{\text{val}}(\mathbf{w} - \xi \nabla_{\mathbf{w}} L_{\text{train}}(\mathbf{w}, \alpha), \alpha)$   
( $\xi = 0$  if using first-order approximation)
2. Update weights  $\mathbf{w}$  by descending  $\nabla_{\mathbf{w}} L_{\text{train}}(\mathbf{w}, \alpha)$
3. Discretize for the final architecture based on the learned  $\alpha$ .
4. Re-train

$$\min_{\alpha} L_{\text{val}}(\alpha, \operatorname{argmin}_{\mathbf{w}} L_{\text{train}}(\alpha, \mathbf{w})) \approx \nabla_{\alpha} L_{\text{val}}(\mathbf{w} - \xi \nabla_{\mathbf{w}} L_{\text{train}}(\alpha, \mathbf{w}))$$

## Take Home Points

- 👉 Gordon Moore is no more. Moore's law is supposed to reach saturation levels. Still, it seems that the capacity of tiny devices will keep increasing in this decade
- 👉 Consequently, we have to search larger & complex networks for *Edge* devices
- 👉 Thus multi-objective, one-shot differential NAS is *the* research direction for TinyML
- 👉 Meanwhile, this tutorial showed that the existing multi-objective, sample-based NAS methods are quite usable for the next few years

*Thank You for bearing with me!* 😊

*Questions* 💡



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